

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David

Group No.: 1765

Serial No.: 10/060,955

Examiner: Not Yet Assigned

Filed: January 29, 2002

For: Microfluidic Method Employing Delivery of Plural Different Fluids To Same Lumen

Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 04/11/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SMALL ENTITY STATUS

- IV. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$117.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
6. Total completion fees	\$592.00

REQUEST FOR CORRECTED FILING RECEIPT

- V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

1. Applicant name and/or addresses - Peter R. David, --Palo Alto, CA--

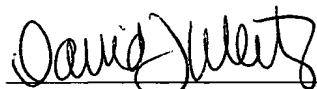
TOTAL FEE DUE

- VI. The total fee due is: Completion fees \$592.00

TOTAL FEE DUE \$592.00

PAYMENT OF FEES

- VII. Charge Account No. 23-2415 (22700-716) in the amount of \$592.00.
Please charge Account No. 23-2415 (22700-716) for any fees that may be due by this paper.

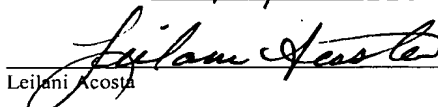


David J. Weltz, Reg. No. 38,362

Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No.: EL 757 550 755 US) in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 4/19/02

Date: 4/19/02


Leilani Acosta



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/060,955	01/29/2002	Peter R. David	22700-716

CONFIRMATION NO. 8686

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FORMALITIES LETTER



OC000000007848871

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$117.
 - \$117 for 13 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 552.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 YPOLITE1 00000072 232415 10060955

01 FC:201	370.00 CH
02 FC:203	117.00 CH
03 FC:205	65.00 CH

RECEIVED

O I P E

04-22-02

Page 1 of 2

RECEIPT \$ #1

APR 19 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/060,955	01/29/2002	1765	0.00	22700-716	25	33	3

CONFIRMATION NO. 8686

021971

WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FILING RECEIPT



OC000000007848870

ROCKETED

Date Mailed: 04/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Peter R. David, [Residence Not Provided;] -- PALO ALTO, CA --

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/877,405 06/08/2001

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Microfluidic method employing delivery of plural different fluids to same lumen

Preliminary Class

117

O I P E
 APR 19 2002
 PATENT & TRADEMARK OFFICE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i> <i>Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i> <i>See 37 C.F.R. §§ 1.27 and 1.28.</i>		Complete if Known	
TOTAL AMOUNT OF PAYMENT		(\$ 592.00)	
Application Number		10/060,955	
Filing Date		01/29/2002	
First Named Inventor		David	
Examiner Name		Not Yet Assigned	
Group/Art Unit		1765	
Attorney Docket Number		22700-716	

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: <u>23-2415 (Docket No. 22700-716)</u> Deposit Account Name: <u>Wilson Sonsini Goodrich & Rosati</u> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17					3. ADDITIONAL FEES				
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other									
FEE CALCULATION									
1. BASIC FILING FEE									
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid				
101	740	201	370	Utility filing fee	370.00				
106	330	206	165	Design filing fee					
107	510	207	255	Plant filing fee					
108	740	208	370	Reissue filing fee					
114	160	214	80	Provisional filing fee					
SUBTOTAL (1)					(\$ 370.00)				
2. EXTRA CLAIM FEES									
Total Claims		33	-20** =	13	x	9	=	117.00	
Independent Claims		3	-3** =	0	x		=	0.00	
Multiple Dependent									
**or number previously paid, if greater; For Reissues, see below									
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid				
103	18	203	9	Claims in excess of 20					
102	84	202	42	Independent claims in excess of 3					
104	280	204	140	Multiple dependent claim, if not paid					
109	84	209	42	**Reissue independent claims over original patent					
110	18	210	9	**Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2)					(\$ 117.00)				
					Large Fee Code Entity Fee (\$) Small Fee Code Entity Fee (\$) Fee Description Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 65.00 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 400 216 200 Extension for reply within second month 117 920 217 460 Extension for reply within third month 118 1,440 218 720 Extension for reply within fourth month 128 1,960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,280 241 640 Petition to revive - unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) 25 Request for Corrected Filing Receipt Other fee (specify) 55/110 Terminal Disclaimer				
					* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 105.00)				

SUBMITTED BY				Complete (if applicable)				
Name (Print/Type)	David J. Weitz			Registration No. (Attorney/Agent)	38,392		Telephone	650-493-9300
Signature				Date	April 19, 2002		Customer No. 021971	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.